

TRANSMITTAL LETTER
(General - Patent Pending)

#3 Docket No.
00-065

In Re Application Of: PACKES et al.

JAN 11 2002

Serial No.
09/930,717

Filing Date
August 15, 2001

Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

Title: SYSTEM AND METHOD FOR AUTOMATED PLAY OF LOTTERY GAMES

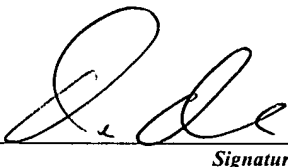
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal Letter (1 pg.) (x2); Response to Notice To File Missing Parts of Application (3 pp.) (x2); Petition to Request Acceptance (8 pp.) (x2); Notarized Affidavit of Veronika Leliever (3 pp.); Notarized Affidavit of Michael Brinton (2 pg.); Notarized Affidavit of James Jorasch (1 pg.); Assignment of Rights, Title, and Interest in Invention (4 pp.); Declaration and Power of Attorney for Patent Application (5 pp.); Recordation Form Cover Sheet (2 pp.); Copy of Notice to File Missing Parts, mailed August 29, 2001 (1 pg.); and EXHIBITS "A" through "K".

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$700.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.



Signature

Dated: December 28, 2001

Dean P. Alderucci
Attorney for Applicants
PTO Registration No. 40,484
(203) 461-7337/phone
(203) 461-7300/fax

Customer No. 22927

cc: Alderucci@walkerdigital.com

I certify that this document and fee is being deposited on December 28, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
00-065

In Re Application Of: PACKES, JR. et al.

JAN 11 2002

Serial No.
09/930,717

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August 15, 2001

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Invention: **SYSTEM AND METHOD FOR AUTOMATED PLAY OF LOTTERY GAMES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
August 29, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Petition to Request Acceptance (3 pp.);
Notarized Affidavit of Veronika Leliever (2 pp.);
Notarized Affidavit of Michael Brinton (2 pp.);
Notarized Affidavit of James Jorasch (1 pg.);
Assignment of Rights, Title and Interest in Invention (4 pp.);
Declaration and Power of Attorney (5 pp.);
Recordation Form Cover Sheet (2 pp.);
Copy of Notice to File Missing Parts of Nonprovisional Application (1 pg.);
Exhibit "A" (1 pg.); Exhibit "B" (1 pg.); Exhibit "C" (1 pg.); Exhibit "D" (1 pg.); Exhibit "E" (1 pg.);
Exhibit "F" (1 pg.); Exhibit "G" (1 pg.); Exhibit "H" (10 pp.); Exhibit "I" (2 pp.); Exhibit "J" (2 pp.); and
Exhibit "K" (1 pg.).

01/17/2002 VTRUONG1 00000018 500271 09930717

03 FC:116

400.00 CH

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
00-065

In Re Application Of: **PACKES, JR. et al.**

JAN 11 2002

Serial No.
09/930,717

Filing Date
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Invention: **SYSTEM AND METHOD FOR AUTOMATED PLAY OF LOTTERY GAMES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☒ Petition and fee for filing by other than all the inventors or a person not the inventor **\$130.00**

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$260.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☒ Two months ☐ Three months ☐ Four months ☐ Five months

from: **October 29, 2001**
Date

until: **December 29, 2001**
Date

Total time extension fees **\$400.00**

Total fees due **\$660.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
00-065

In Re Application Of: **PACKES, JR. et al.**

JAN 11 2002

Serial No.
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Filing Date
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Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

Invention: **SYSTEM AND METHOD FOR AUTOMATED PLAY OF LOTTERY GAMES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$700.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0271**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0271**
A duplicate copy of this sheet is enclosed.



Signature

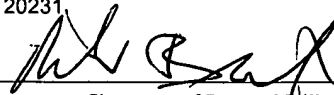
Dated: **December 28, 2001**

Dean P. Alderucci
Attorney for Applicants
PTO Registration No. 40,484
(203) 461-7337/phone
(203) 461-7300/fax

Customer No. 22927

cc: **Alderucci@walkerdigital.com**

I certify that this document and fee is being deposited on **December 28, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/930,717	08/16/2001	John M. Packes JR.	00-065

22927
WALKER DIGITAL
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905

JAN 11 2002

COPY

CONFIRMATION NO. 2438

FORMALITIES LETTER



OC000000006493125

Date Mailed: 08/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

File No:	00-065
Attorney:	MD
Due Date:	10/29/01
Docketed:	09/04/01

A copy of this notice **MUST** be returned with the reply.

01/17/2002 VTRUONG1 00000013 500271 09930717

01 FC:105 130.00 CH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY